



## **Sunlight Peaks Financial Partners, LLC**

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Penthouse North  
Denver, CO 80236  
866-210-0158  
[www.sunlightpeaks.com](http://www.sunlightpeaks.com)

### **Form ADV Part 2B**

### **Brochure Supplement**

Last updated July, 2021

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This brochure supplement provides information about the investment team of Sunlight Peaks Financial Partners, LLC that supplements the Sunlight Peaks Financial Partners, LLC Part 2A brochure. You should have received a copy of that brochure. Please contact Sam Beritela, CCO if you did not receive Sunlight Peaks Financial Partners, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about the firm or the persons included in this brochure is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Information is included on the following:

Ryan D. Parson  
Sam A. Beritela  
Philip E. Sachs  
Mark W. Lewis

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## RYAN D. PARSON

### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Full Name:** Ryan D. Parson

**Born:** 1977

**Title:** President and Chief Executive Officer

**Education:** Drake University, Des Moines, IA  
BSBA Finance and Insurance  
MBA

**Experience:** **Sunlight Peaks Financial Partners, LLC, Denver, CO**  
President and Chief Executive Officer - 2021 – Present

**Heritage Capital Management Group, LLC, Chandler, AZ**  
Director; Investor Relations - 2018 – 2021

**Colonial Capital Management, LLC, Southlake, TX**  
Director; Investor Relations - 2014 – 2021

**Heritage Capital USA, Inc Chandler, AZ**  
Chief Investment Officer - 2012 – 2021

**State Farm Insurance Co, Grand Junction, CO**  
Agency Field Executive - 2010 – 2012

### DISCIPLINARY INFORMATION

Ryan D. Parson has no legal or disciplinary events to disclose.

### OTHER BUSINESS ACTIVITIES

Ryan D. Parson is a Certified Financial Planner (CFP®), Chartered Financial Consultant (ChFC), Chartered Life Underwriter (CLU), Chartered Advisor of Senior Living (CASL).

### ADDITIONAL COMPENSATION

Ryan D. Parson is not engaged in any other investment-related business and does not receive additional compensation for providing advisory services from any other source or organization.

### SUPERVISION

Ryan D. Parson is supervised by Sam A. Beritela, in his role as Chief Compliance Officer and Chief Investment Officer at Sunlight Peaks Financial Partners, LLC. Sam A. Beritela can be reached at 866-210-0158.

## **SAM A. BERITELA**

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Full Name:** Sam A. Beritela

**Born:** 1964

**Title:** Wealth Strategist

**Education:** BBA, Loyola College — Baltimore, MD  
MBA, Northwestern University — Evanston IL

**Experience:** **Sunlight Peaks Financial Partners, LLC, Denver, CO**  
Chief Investment Officer & Chief Compliance Officer - 2021 – Present

**WMS Partners, LLC, Towson, MD**  
Chief Financial Officer and Head of Private Equity - 2018 — 2019

### **DISCIPLINARY INFORMATION**

Sam A. Beritela has no legal or disciplinary events to disclose.

### **OTHER BUSINESS ACTIVITIES**

Sam A. Beritela is a Certified Public Accountant licensed and in good standing in the State of Maryland.

### **ADDITIONAL COMPENSATION**

Sam A. Beritela serves as a general business and corporate finance consultant to various companies involved in a range of industries for which he receives compensation.

### **SUPERVISION**

Sam A. Beritela is supervised by Ryan D. Parson, in his role as President and Chief Executive Officer at Sunlight Peaks Financial Partners, LLC. Ryan D. Parson can be reached at 866-210-0158.

## MARK W. LEWIS

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Full Name:** Mark W. Lewis

**Born:** 1961

**Title:** Wealth Strategist

**Education:** B.A. Northwest Nazarene University, Nampa, ID

**Experience:** **Sunlight Peaks Financial Partners, LLC, Denver, CO**  
Wealth Strategist - 2021 – Present

**Mile Marker Club**  
Wealth Strategist – 2019 – 2021

**State Farm Insurance Co.**  
Financial Planning & Analysis Sr. Manager – 1999-2019

### **DISCIPLINARY INFORMATION**

Mark W. Lewis has no legal or disciplinary events to disclose.

### **OTHER BUSINESS ACTIVITIES**

Mark W. Lewis earned the Chartered Financial Consultant (ChFC), and Chartered Life Underwriter (CLU) designations from the American College. He also earned the Chartered Property & Casualty Underwriter (CPCU) designation from the American Institute for Chartered Property Casualty Underwriters.

### **ADDITIONAL COMPENSATION**

Mark W. Lewis is not engaged in any other investment-related business and does not receive additional compensation for providing advisory services from any other source or organization.

### **SUPERVISION**

Mark W. Lewis is supervised by Sam A. Beritela, in his role as Chief Compliance Officer and Chief Investment Officer at Sunlight Peaks Financial Partners, LLC. Sam A. Beritela can be reached at 866-210-0158.

## Philip E. Sachs

### **EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Full Name:** Philip E. Sachs

**Born:** 1945

**Title:** Wealth Strategist

**Education:** B.S.B.A. Washington University in St. Louis

**Experience:** **Sunlight Peaks Financial Partners, LLC, Denver, CO**  
Wealth Strategist - 2021 – Present

**Mile Marker Club**  
Wealth Strategist – 2019 – 2021

WMS Partners  
Partner – 2011 -2021

### **DISCIPLINARY INFORMATION**

Philip E. Sachs has no legal or disciplinary events to disclose.

### **OTHER BUSINESS ACTIVITIES**

Philip E. Sachs earned his Series 65.

### **ADDITIONAL COMPENSATION**

Philip E. Sachs is not engaged in any other investment-related business and does not receive additional compensation for providing advisory services from any other source or organization.

### **SUPERVISION**

Philip E. Sachs is supervised by Sam A. Beritela, in his role as Chief Compliance Officer and Chief Investment Officer at Sunlight Peaks Financial Partners, LLC. Sam A. Beritela can be reached at 866-210-0158.

## DISCLOSURES

**Certified Financial Planner (CFP) Program** Certified Financial Planner (CFP) is a formal recognition of expertise in the areas of financial planning, taxes, insurance, estate planning, and retirement (such as with 401(k)s).

Owned and awarded by the Certified Financial Planner Board of Standards, Inc., the designation is awarded to individuals who successfully complete the CFP Board's initial exams, then continue ongoing annual education programs to sustain their skills and certification.

A CFP has received a formal designation from the Certified Financial Planner Board of Standards, Inc. CFPs help individuals in a variety of areas in managing their finances, such as retirement, investing, education, insurance, and taxes. Becoming a CFP is one of the most difficult and stringent processes in terms of financial advisors. It requires years of experience, successful completion of standardized exams, a demonstration of ethics, and a formal education. The most important aspect of a CFP is that they have a fiduciary duty, meaning they must make decisions with their client's best interests in mind.

**Chartered Financial Consultant (ChFC)** is a professional designation representing the completion of a comprehensive course consisting of financial education, examinations, and practical experience. Chartered Financial Consultant designations are granted by the American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice.

To be considered for the program, the applicant must already have a minimum of three years working full-time in the financial industry. Also, it is recommended that applicants have a degree related to finance or business before applying, as it will make the program much easier.

The ChFC degree program requires students to complete nine college-level courses or 27 hours of college credit in the field. Students must achieve mastery of more than 100 integrated advanced financial planning topics, including Estate Planning, Insurance Planning, Retirement Planning, Financial Planning Process and Environment, Income Tax Planning, Employee Benefits Planning, Asset Protection Planning, Estate Tax, Transfer Tax, and Gift Tax Planning, and Applications of Comprehensive Financial Planning and Consulting.

**Chartered Life Underwriter (CLU)** is a professional designation for individuals who wish to specialize in life insurance and estate planning. Holders of the Certified Financial Planners (CFP) designation will often add CLU to their credentials to demonstrate additional subject-matter expertise. Individuals must pass a series of courses and examinations to receive the designation.

The CLU designation represents a thorough understanding of a broad array of personal risk management and life insurance planning issues. The program also stresses ethics, professionalism, and in-depth knowledge when delivering advice in the areas of life insurance, business planning, and estate planning. Having additional knowledge in these areas gives financial planners a competitive edge over other planners with fewer credentials.

**Chartered Advisor for Senior Living (CASL)** is a professional designation for individuals whose advice helps older clients achieve financial security. A CASL certification is often held by financial advisors who have demonstrated a commitment to helping clients who are middle-aged and older achieve and preserve financial security through wealth management, wealth preservation, and wealth transfer planning. The CASL designation, while still recognized by the American College of Financial Services (the CASL issuing organization), is no longer offered to new students.

According to the American College, the CASL designation "shows a commitment to helping aging clients achieve financial security now and into the future. The CASL helps advisors lead clients from middle age through [retirement](#) and assist them with the management, preservation, and transfer of wealth."

**Certified Public Accountant (CPA)** is a professional license granted by a state board of accountancy. Every state requires candidates for the license to meet minimum education standards, successfully complete the CPA Exam, and complete minimum experience requirements. In Maryland, a CPA candidate must also successfully complete a professional ethics course administered by the American Institute of Certified Public Accountants. In Maryland, an active CPA must also earn a minimum

of 80 qualifying hours of continuing education in any of 23 subject matter areas each license period to be eligible to renew the license. Four hours of continuing education in professional ethics must be completed each two-year period.

**Chartered Property Casualty Underwriter (CPCU)** is a professional credential earned by individuals who specialize in risk management and property-casualty insurance.

The CPCU credential is most likely to be earned by insurance agents and brokers, insurance claims representatives, risk managers, and underwriters. To obtain the CPCU credential, an individual must pass a number of classes covering insurance and risk management topics. Required coursework includes four core courses: foundations of risk management and insurance, insurance operations, business law for insurance professionals, and finance and accounting for insurance professionals.

Additionally, three courses must also be taken in either commercial lines or personal lines, and an elective course chosen by the candidate must be completed. Electives courses include those related to techniques, practices, and strategies used in the insurance and risk management industry.\

Candidates are also required to pass an exam focused on ethics. Those who obtain the credential must agree to abide by a professional code of conduct, which directs professionals with the CPCU credential to conduct business ethically. Failure to abide by the code of conduct can result in the CPCU being subject to disciplinary measures and potentially having their credentials suspended.

**Series 65** is designed by the North American Securities Administrators Association (NASAA) and administered by the Financial Industry Regulatory Authority (FINRA), the Series 65 is an exam and securities license required for individuals to act as investment advisers in the US. The Series 65 exam, known formally as the Uniform Investment Adviser Law Examination, covers laws, regulations, ethics, and various topics important to the role of a financial adviser. Successful completion of the Series 65 exam qualifies an investment professional to function as an Investment Adviser Representative.