



PROSPECTUS LIABILITY INSURANCE

Why DUAL?

The DUAL Group has 24 offices across Europe, Asia Pacific and the Americas, employing over 250 people and servicing more than 3,400 local brokers and agents. DUAL is part of the Hyperion Insurance Group.

DUAL in Hong Kong underwrites solely on behalf of MSIG Insurance (Hong Kong) Limited, a wholly-owned subsidiary of Tokyo based Mitsui Sumitomo Insurance Co., Ltd. which is one of the top three general insurers in Asia.

What is Prospectus Liability Insurance?

A Prospectus Liability policy provides cover for public offerings including initial public offerings and debt or equity rights issues, and specifically responds to the risks associated with the offering faced not only by directors but also the company and any controlling or selling shareholders.

Why Directors and Officers (D&O) Liability Insurance is not sufficient?

A D&O liability policy excludes cover for public offerings, or requires the offering company to pay an additional premium as a precondition to cover. If cover is extended under the D&O policy for transaction, often this will only be available to the individual directors and officers and not the company itself.

Key Policy Features & Benefits

- Advancement of Defence Costs
- Attendance at investigations
- Breach of Privacy
- Court attendance costs
- Emergency defence costs
- Mitigation costs
- Public relations costs
- Additional limit for non-executive directors
- 6 years cover

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Insured by



MSIG