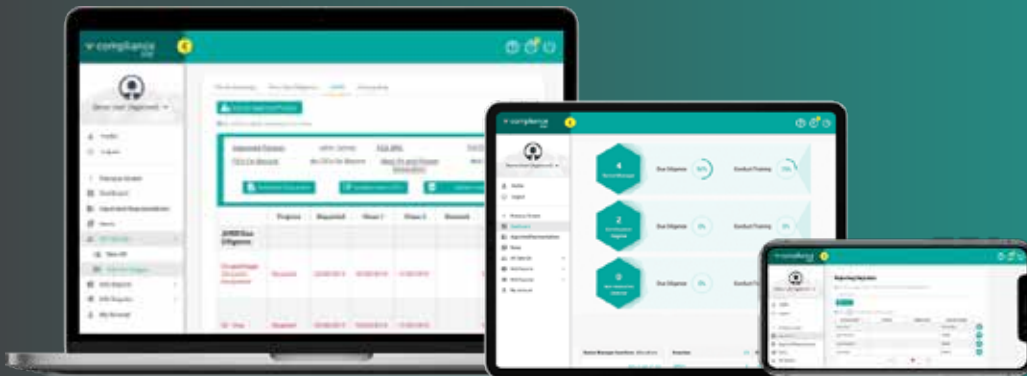


Request a demo

Contact us



## On-demand compliance software

### No compromise

Compliance Star is a technology enabled platform that facilitates compliance management, workflow and monitoring of firms authorised by the Financial Conduct Authority (FCA). Underpinned by a 'failsafe', calendar based task management system which allows for both automatic and manual setting of tasks, notifies actions, populates deadlines into a calendar, issues reminders and monitors for completion.

Compliance Star offers effective and efficient remote management of Principal firms and its Appointed Representatives via real-time data collection and reporting. It enables complete tracking of internal regulatory processes and provides up-to-date single point data access combined with the ability to produce FCA required reports on such data, saving time and cost.

### Managing your risk

Compliance Star offers Principal firms effective and efficient remote management of their Appointed Representatives via real-time data collection and reporting.

One of the key benefits of Compliance Star is comprehensive, reliable data which the firm can rely upon to manage its exposure to risk, ensure those who control and direct the firm have demonstrable, effective oversight and offer rapid identification of issues allowing the firm to respond quickly, reducing customer and regulatory risk.

# Modules & Solutions



AR Take On Process



AR Take On



AR Take On



Annual AML Reporting



Annual Financial Testing



APER



Attestations



Audit



Complaints Management  
and Reporting



Continuous  
Professional Development



Financial Promotions



MI Reports



Compliance Manuals



New Business Register



Risk Register



Reporting Registers

## What can Compliance Star do for your business?

**Clarity** - Bespoke workflow management tool to manage compliance monitoring, reporting and oversight

**Insight** - MI functionality to manage your compliance reporting and regulatory oversight obligations.

**Diligent** - Approved Person (APER) on boarding step-by-step due diligence recommended framework.

**Awareness** - Manuals, policies and procedures tailored to your business type

**Assurance** - Automated and manual task functions allow you to create and monitor the tasks

## Get in touch

For information on all of the features available,  
visit [compliancestar.co.uk](https://compliancestar.co.uk) or call 0203 859 0056

[Request a demo](#)

[Contact us](#)