

Request a demo

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On-demand compliance software

No compromise

Compliance Star is a technology enabled platform that facilitates compliance management, workflow and monitoring of firms authorised by the Financial Conduct Authority (FCA). Underpinned by a 'failsafe', calendar based task management system which allows for both automatic and manual setting of tasks, notifies actions, populates deadlines into a calendar, issues reminders and monitors for completion.

Compliance Star offers effective and efficient remote management of FCA Authorised Firms and its operating Units/Agents via real-time data collection and reporting. It enables complete tracking of internal regulatory processes and provides up-to-date single point data access combined with the ability to produce FCA required reports on such data, saving time and cost.

Managing your risk

FCA regulation means it is more important than ever for firms to effectively manage compliance functions and risk.

One of the key benefits of Compliance Star is comprehensive, reliable data which the firm can rely upon to manage its exposure to risk, ensure those who control and direct the firm have demonstrable, effective oversight and offer rapid identification of issues allowing the firm to respond quickly, reducing customer and regulatory risk.

Modules & Solutions



Senior Managers &
Certification Regime



Annual AML Reporting



Fit and Proper Testing



Audit



Complaints Management
and Reporting



Continuous
Professional Development



Financial Promotions



MI Reports



Compliance Manuals



New Business Register



Risk Register



Reporting Registers

What can Compliance Star do for your business?

Clarity - Bespoke workflow management tool to manage compliance monitoring, reporting and oversight

Insight - MI functionality to manage your compliance reporting and regulatory oversight obligations

Diligent - Meet your regulatory requirements under the Senior Managers and Certification Regime

Awareness - Manuals, policies and procedures tailored to your business type

Assurance - Automated and manual task functions allow you to monitor tasks

Get in touch

To request a demo or for more information on
Compliance Star and all of the features available,
visit compliancestar.co.uk or call 0203 859 0056

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